

# DART-X: Software Infrastructure for Prototyping in-memory Data Transfer between Ensemble Data Assimilation and Coupled Earth Systems Models



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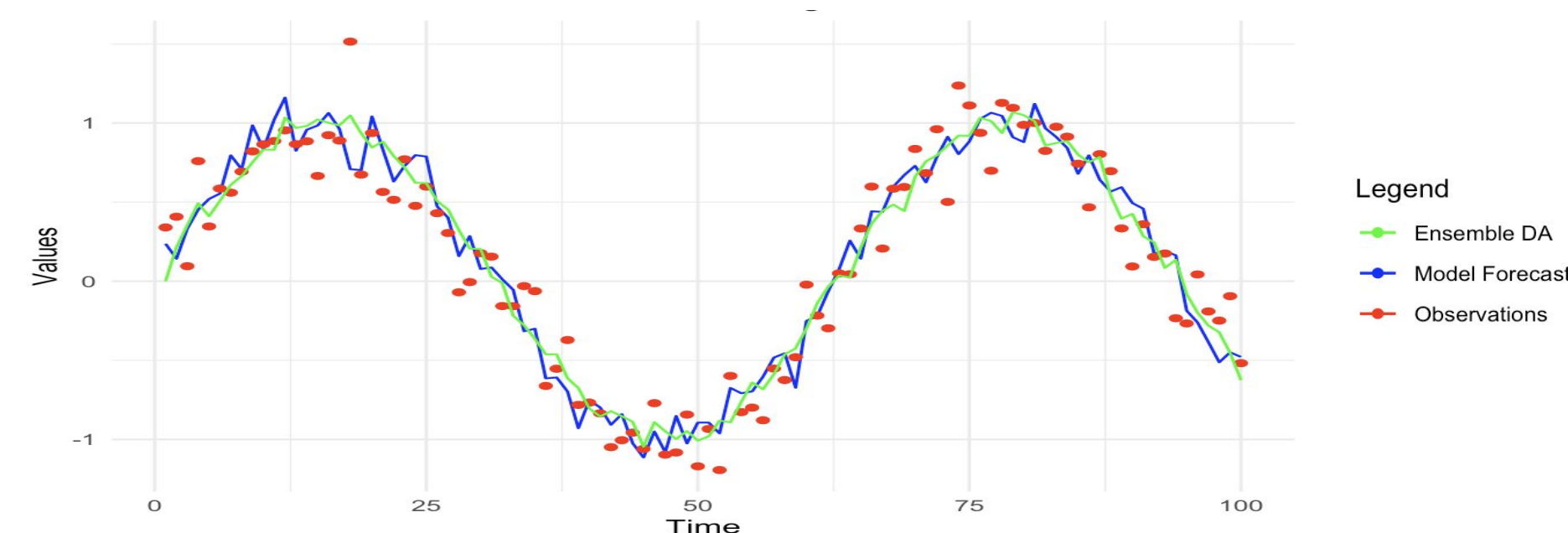


## 1. BACKGROUND

### Data Assimilation, DART & CESM

**Data assimilation (DA)** integrates real-world data into climate models to capture the complexity of the Earth system and enhance prediction accuracy.

NCAR's **DART**, an ensemble DA software used with **CESM** (Community Earth System Model), balances model predictions and real-world observations to minimize uncertainties and represent a more accurate climate state.

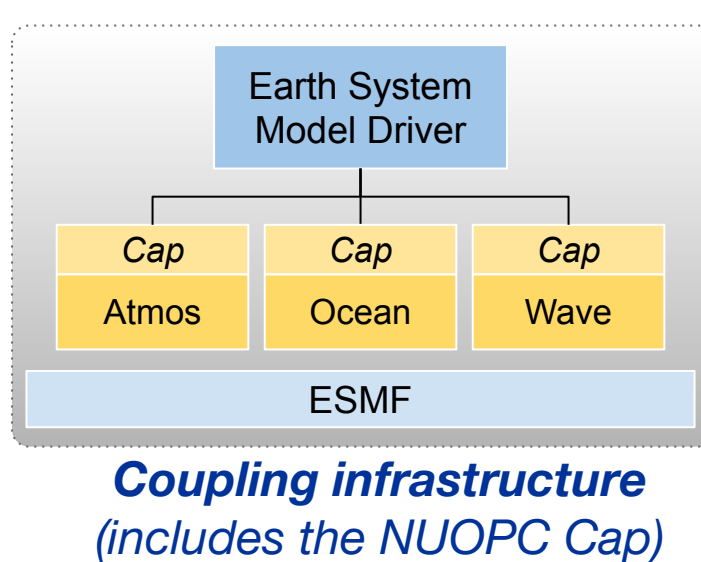
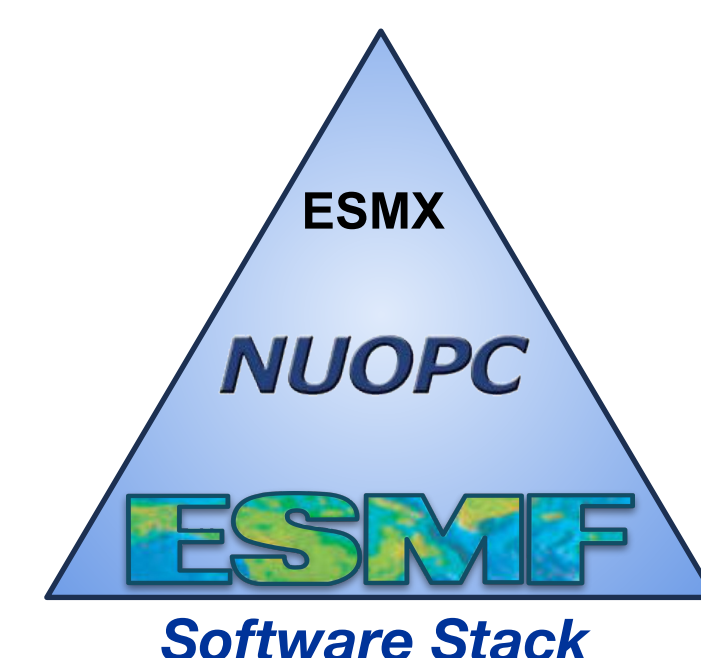


### ESMF, NUOPC, ESMX

CESM uses **ESMF** (Earth System Modeling Framework) to couple climate models, simulating interactions between Earth components.

**NUOPC** (National Unified Operational Prediction Capability) **cap** is a software layer that standardizes data sharing within ESMF.

**ESMX** (Earth System Model eXecutable) is a layer built on top of ESMF and NUOPC APIs to simplify building, running, and testing NUOPC-based systems.

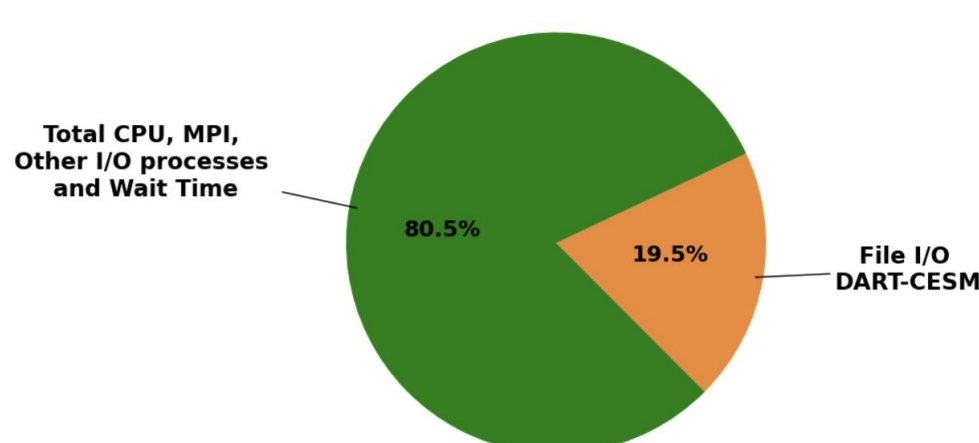


## 2. MOTIVATION

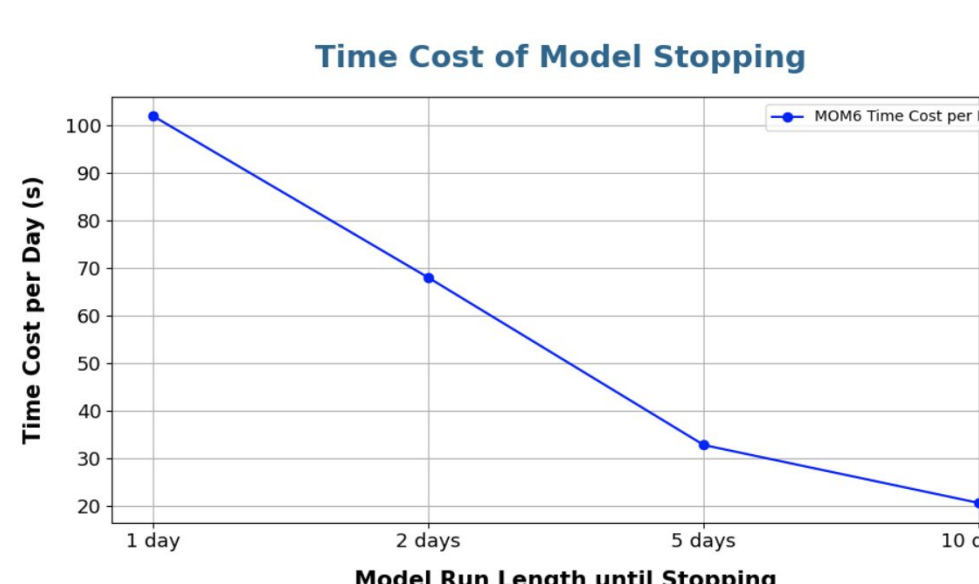
### DART-CESM I/O Bottleneck

DART traditionally modifies "restart" files written into disk to update model states, requiring CESM models to stop for data assimilation and then restart. This process causes significant I/O bottleneck, leading to high computational costs, even on large supercomputers, especially for high-resolution models.

Time Distribution of Processes in MOM6 Model



Benchmark profiling shows read/write operations consume significant runtime, even at relatively low-resolution (% deg).

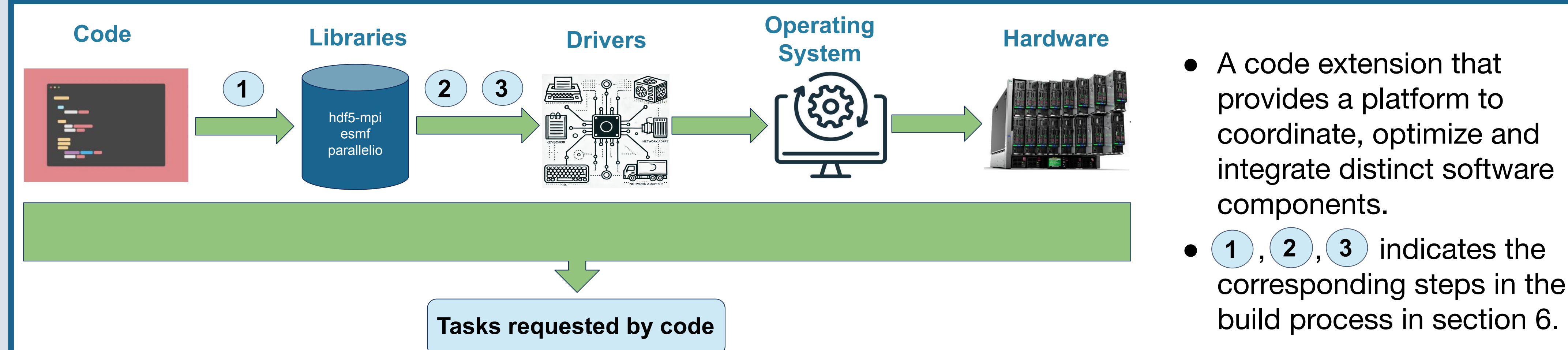


The more frequently we stop the model, the higher the fractional time cost. DA typically stops at least once per day.

## 3. OBJECTIVES

- Build infrastructure to develop and test a **DART-NUOPC cap** to allow DART to access CESM model states **directly in memory**, avoiding an I/O bottleneck from traditional file system data transfer and reducing resource waste.
- Validate the cap's functionality by passing 2D fields (data) in memory.

## 4. SOFTWARE INFRASTRUCTURE



## 5. INFRASTRUCTURE CHALLENGES

- The sophisticated ESMF infrastructure, with 2 million lines of code, requires extensive communication with external labs, exceeding time and resource constraints.
- Building the interface requires integrating DART and CESM with minimal disruption to their core structure and existing functionalities.
- Integrating systems with varying object forms, infrastructures, and data formats is complex.

## 6. THE BUILD PROCESS (DART-X)

### DART-X Build Process

#### 1. Select environments and frameworks

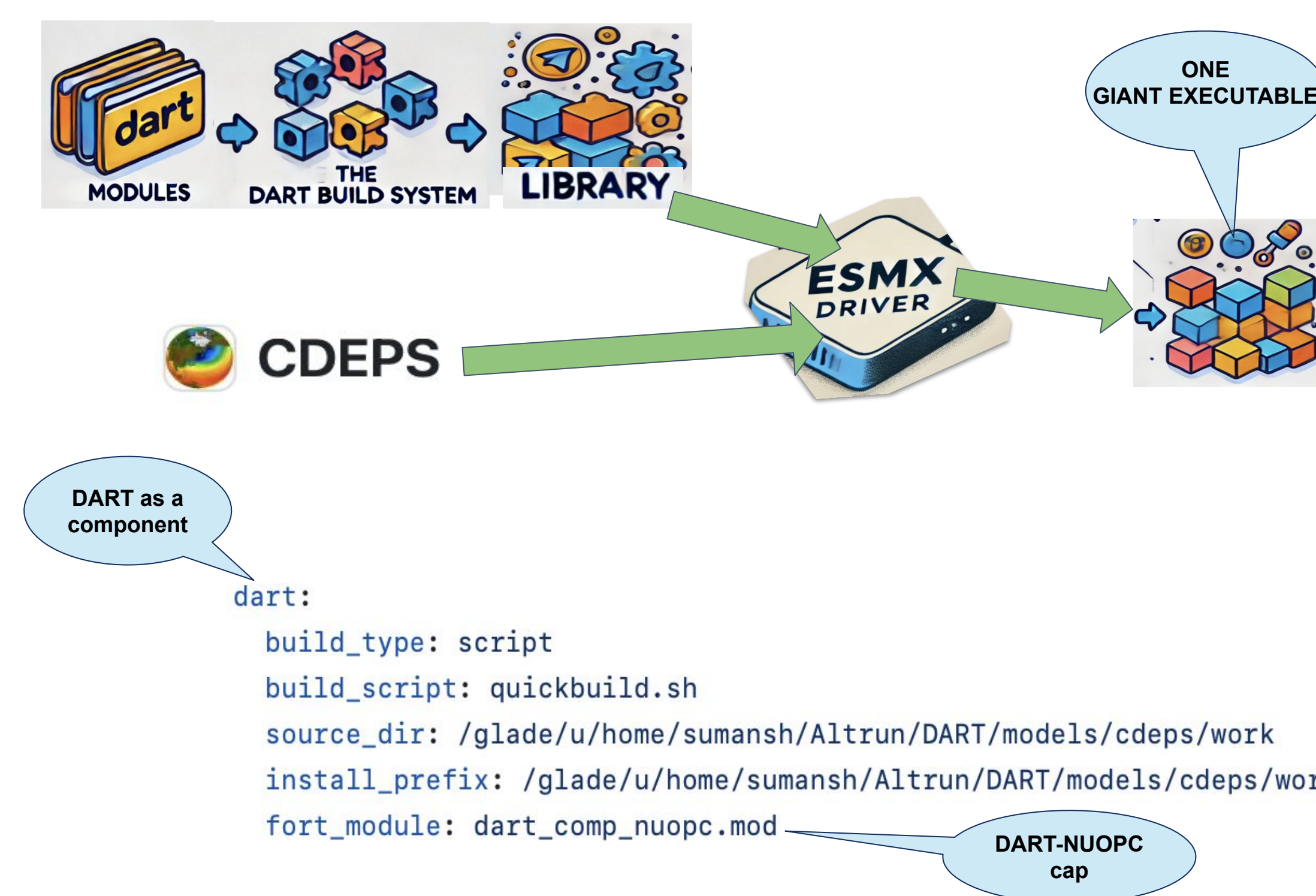
- Build the cap on NCAR's Derecho supercomputer for its robust ESMF and NUOPC support.
- Utilize **ESMX** to simplify NUOPC-based development, handle orchestration, and isolate cap testing.
- Use **CDEPS** for targeted testing without a fully coupled system model to speed up development.
- Ensure build dependency compatibility for High Performance Computing (HPC) with build templates.

#### 2. Transform and Unify Objects

- ESMX expects input components to be compiled as modules or libraries for a unified executable.
- However, DART outputs executables by default.
- DART-ESMX integration (DART-X): Modify DART to function as a library to incorporate into ESMX.

#### 3. Build Configuration

- ESMX helps avoid extensive Fortran coding, streamlining compilation and linking via the human-readable YAML language.
- In the esmxBuild.yaml configuration file, locate DART in memory by pointing to its address and include the cap code as a module.

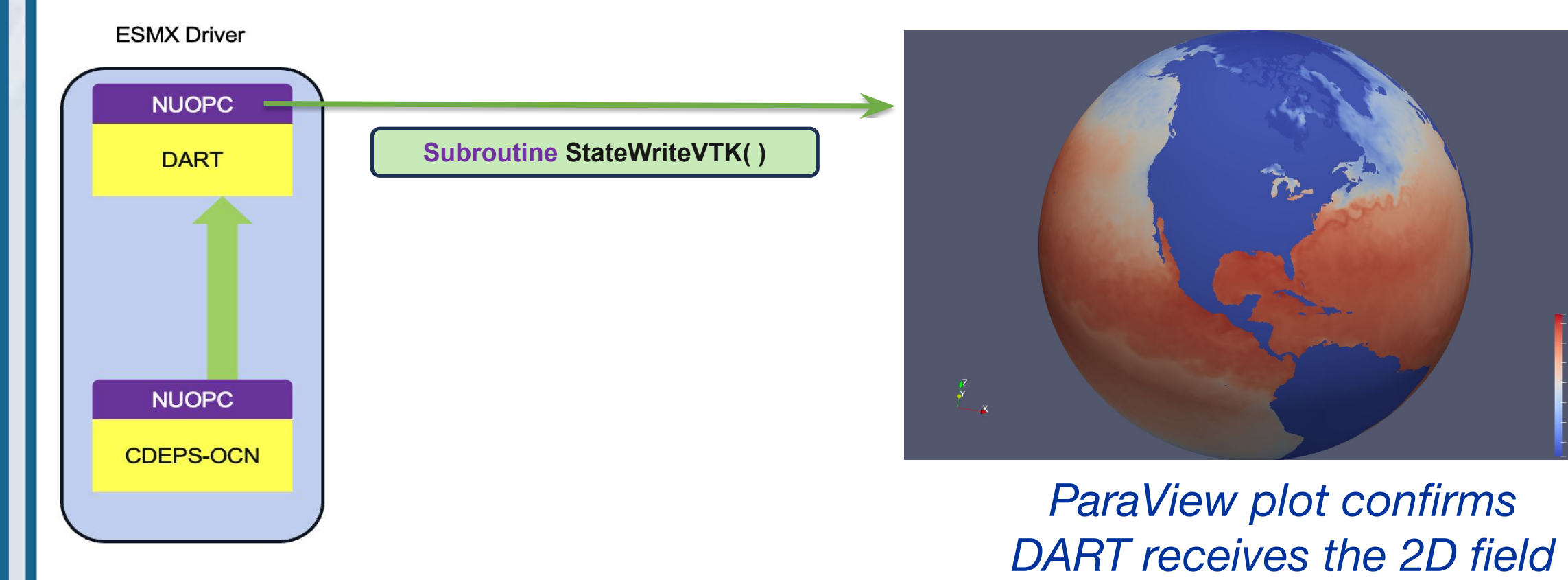


## 7. RESULTS

### First in-memory DART ↔ CESM

Using DART-X, the DART-NUOPC cap successfully transfers 2D field Sea Surface Temperature (SST) from the ocean model (OCN) to DART.

The Model Advance subroutine in the DART-NUOPC cap writes the SST field to a VTK file, validating in-memory transfer.



With the DART-NUOPC Cap integrated, DART advances in time like a model component.

## 8. CONCLUSIONS

- Developing robust software infrastructure minimizes disruptions and enables new feature development and testing. DART-X supports the DART-NUOPC Cap, the first in-memory data transfer prototype between DART and CESM.
- The first DART-NUOPC cap prototype paves the way to explore direct memory sharing, potentially reducing disk I/O bottlenecks. This enables DART to act as a model component, speeding up data assimilation and reducing computational costs.

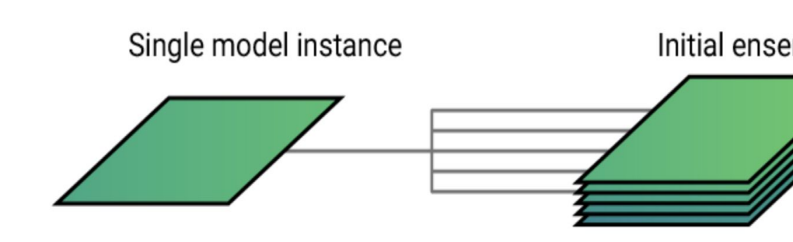
## 9. FUTURE WORKS

### Full DART-ESMF integration

Advance from a successful ESMX prototype, DART-X, to a full DART-CESM cap using ESMF driver.

### Ensemble Field Transfers

Continue testing DART as a model component for ensemble models to transfer ensemble fields.



### Profiling: Memory vs. Disk Improvement

Profile: quantify performance improvement for DA-model with in-memory data transfer versus traditional disk transfer.

### Handling Missing Fields In Memory

The cap currently exchanges fields; future work will address missing data in DART-CESM communication.

### Generalizing in-memory data passing

In-memory data passing techniques in this prototype may extend to other data systems and ML frameworks.

## REFERENCES AND ACKNOWLEDGEMENT

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