



Optimizing Ensemble Data Assimilation Performance for Coupled Earth System Models

**A first prototype for in-memory data
transfer between earth system models
and Data assimilation**

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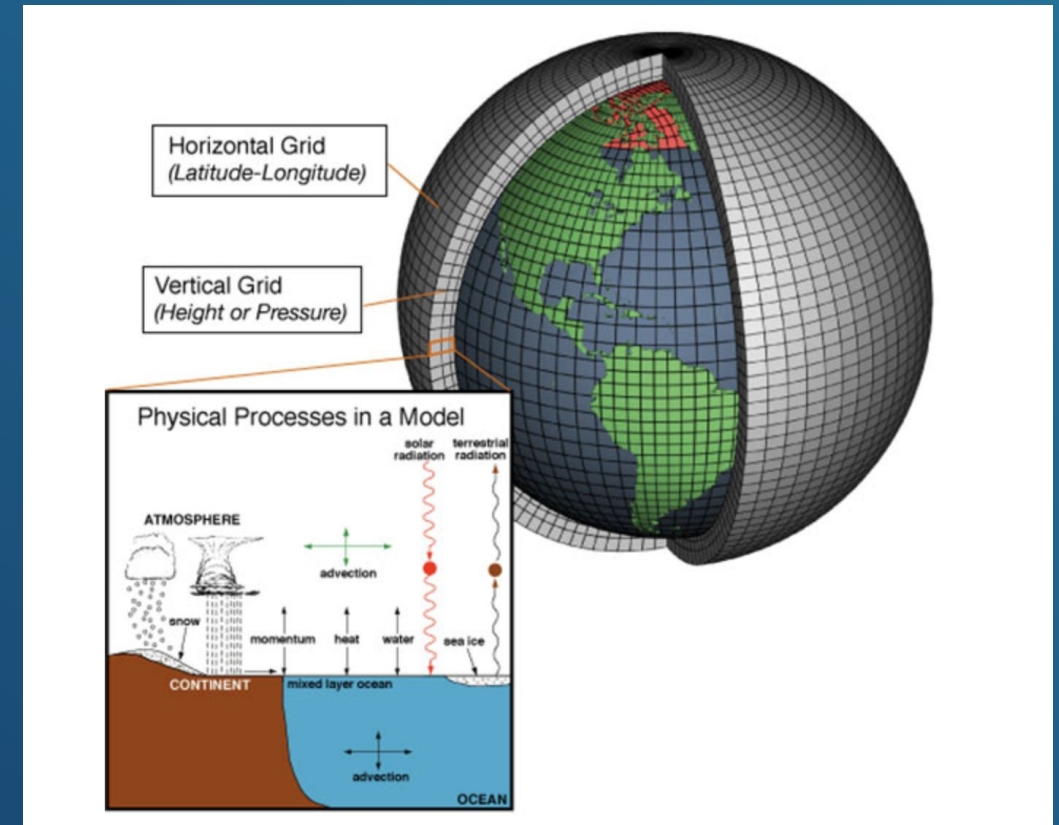
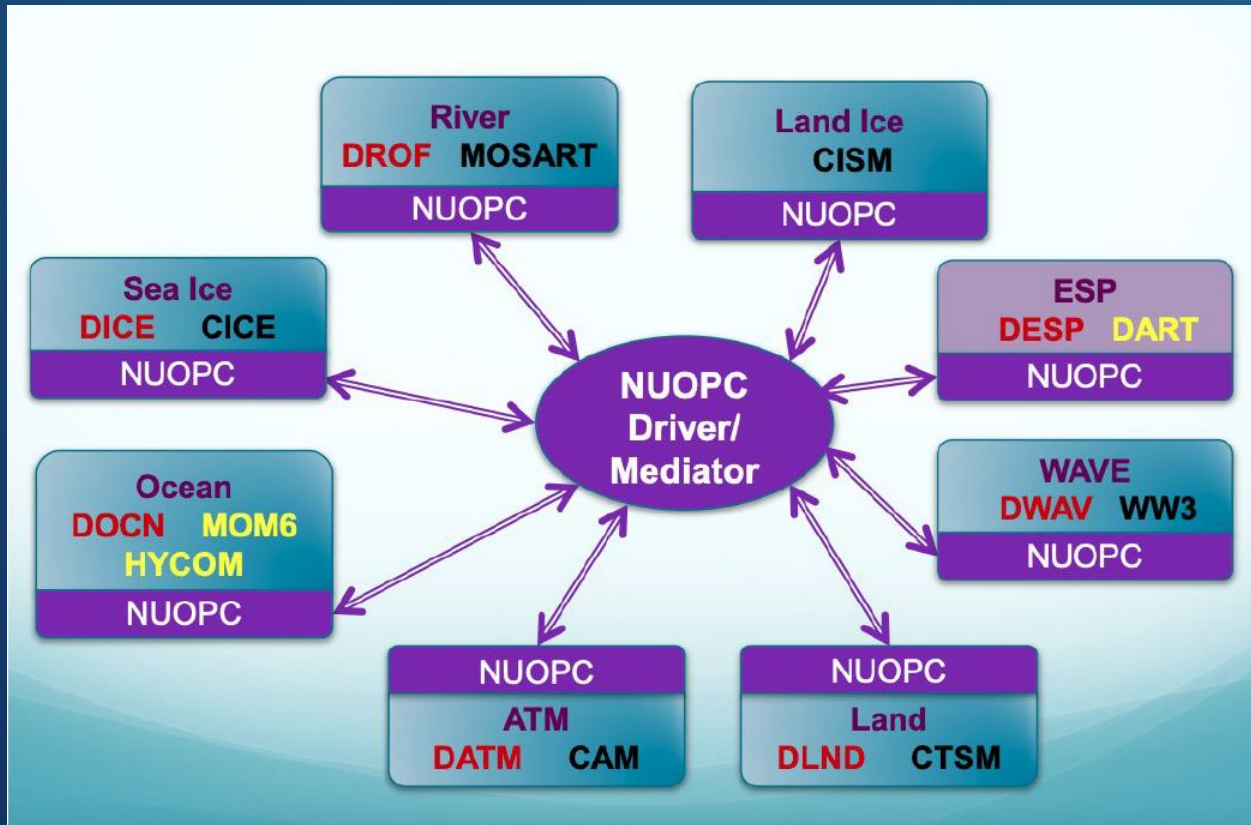
Anh Pham, Dan Amrhein, Helen Kershaw, Ufuk Turuncoglu, Dan Rosen

- Introduction
- Method: Building the DART cap
- Results
- Future work

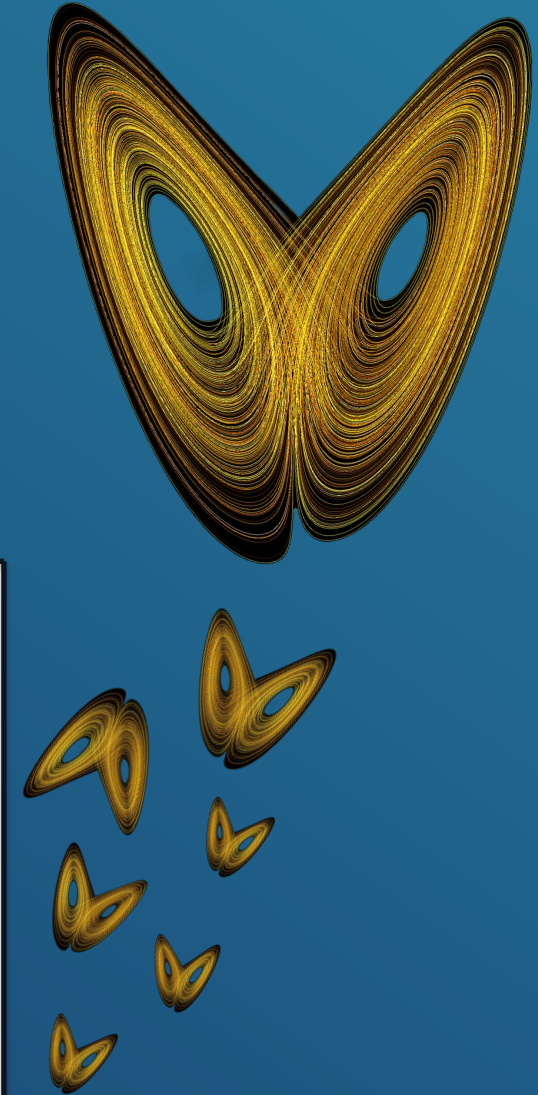
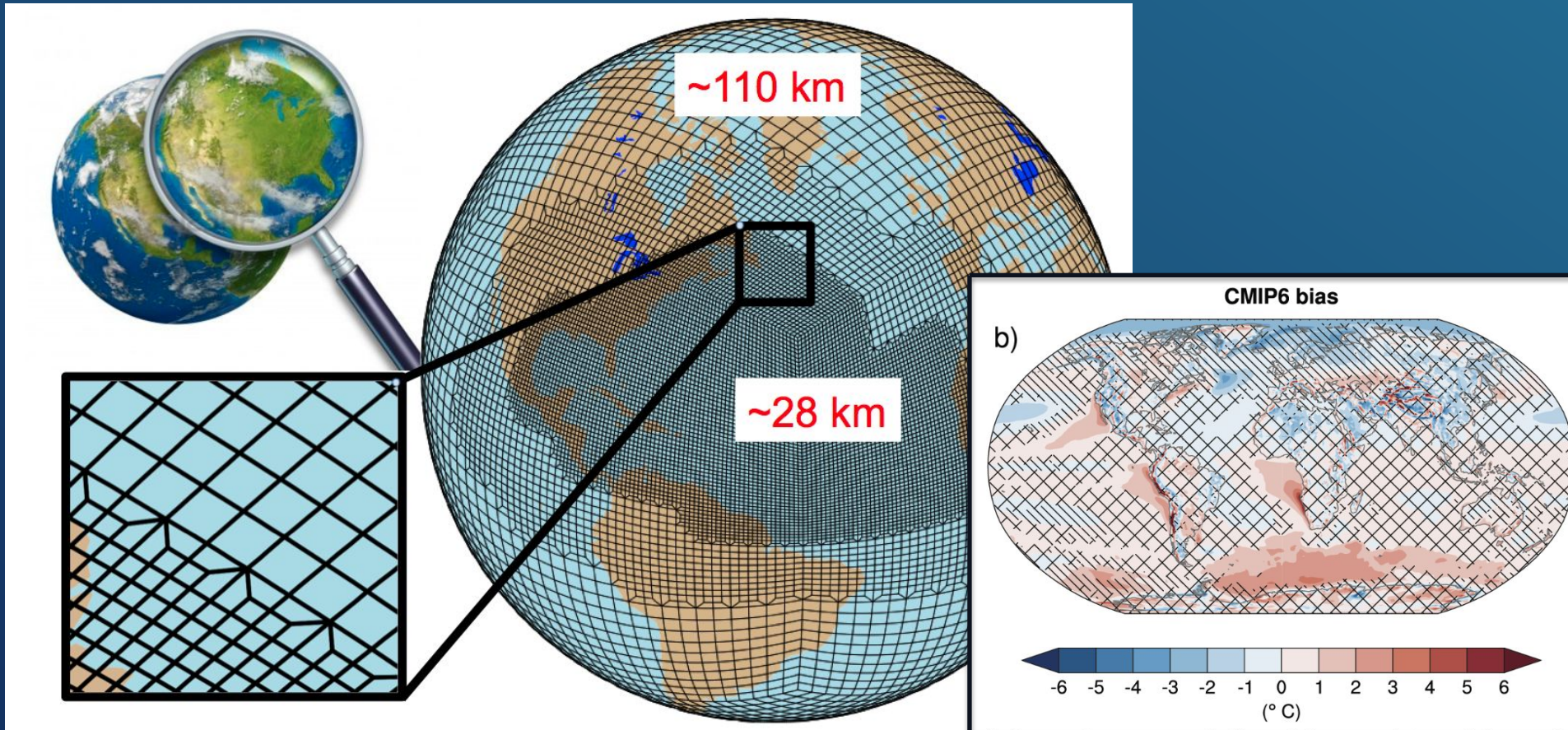


Introduction: What is an Earth System Model?

Community Earth System Model (CESM)

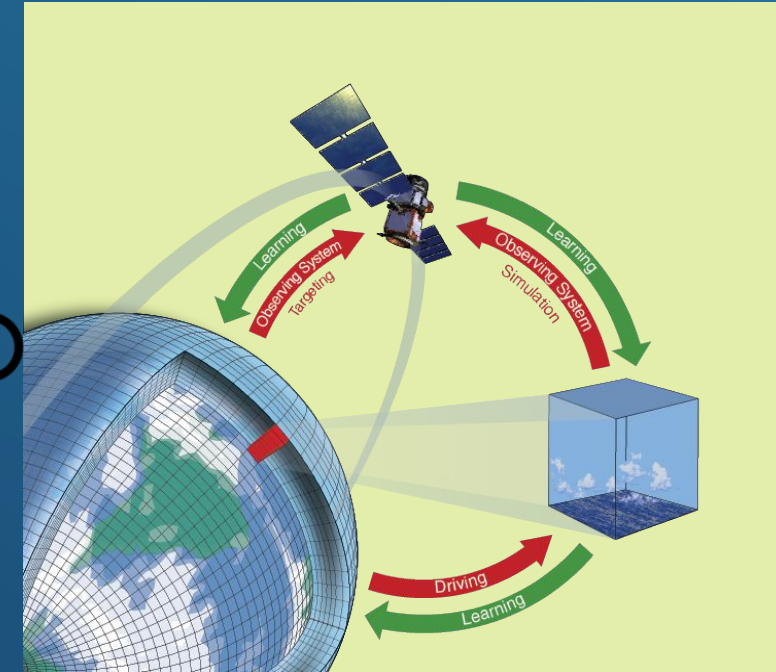
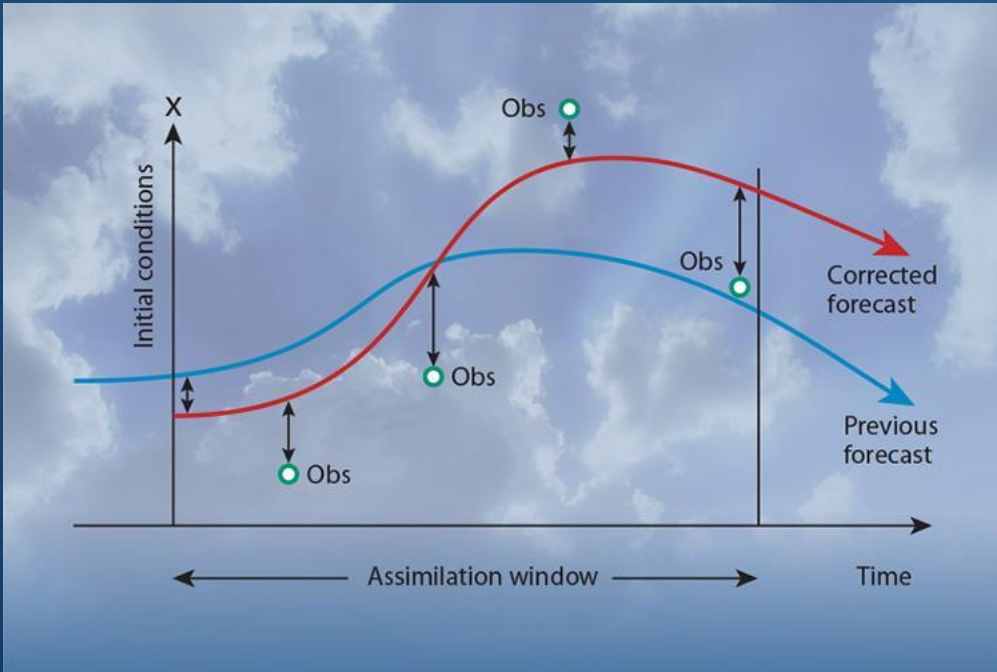


Climate Change is a **complex** problem!



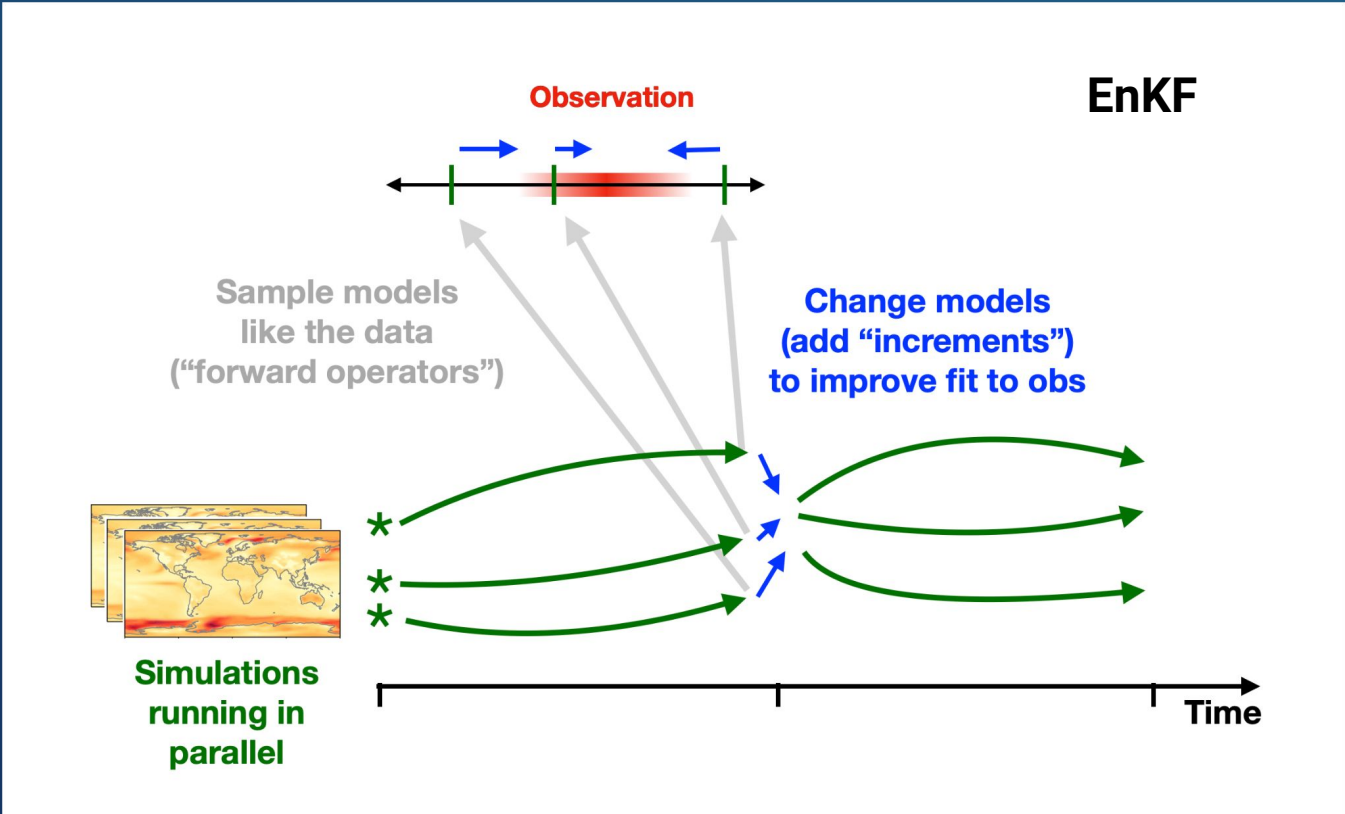
Introduction: How can we address challenges of predictability?

We need both data and algorithms to connect the dots



Algorithm: Data assimilation combines observations with model forecasts to estimate the state of a physical system

DA in a nutshell



NSF NCAR | DART

DATA ASSIMILATION FOR THE ENTIRE EARTH SYSTEM

Use ensemble DA techniques with geophysical models spanning the earth system.

Introduction: What is the proposed solution?

Challenge: I/O bottleneck of models -> DART -> models

Solution: In-memory transfer of fields leveraging NUOPC software layer

- Coupled models like CESM already exchange fields in memory using Earth System Modeling Framework (ESMF) utilities.
- We are proposing to integrate DART software as a model component within CESM and use **NUOPC libraries** to create a cap for DART software to access the model state in memory.



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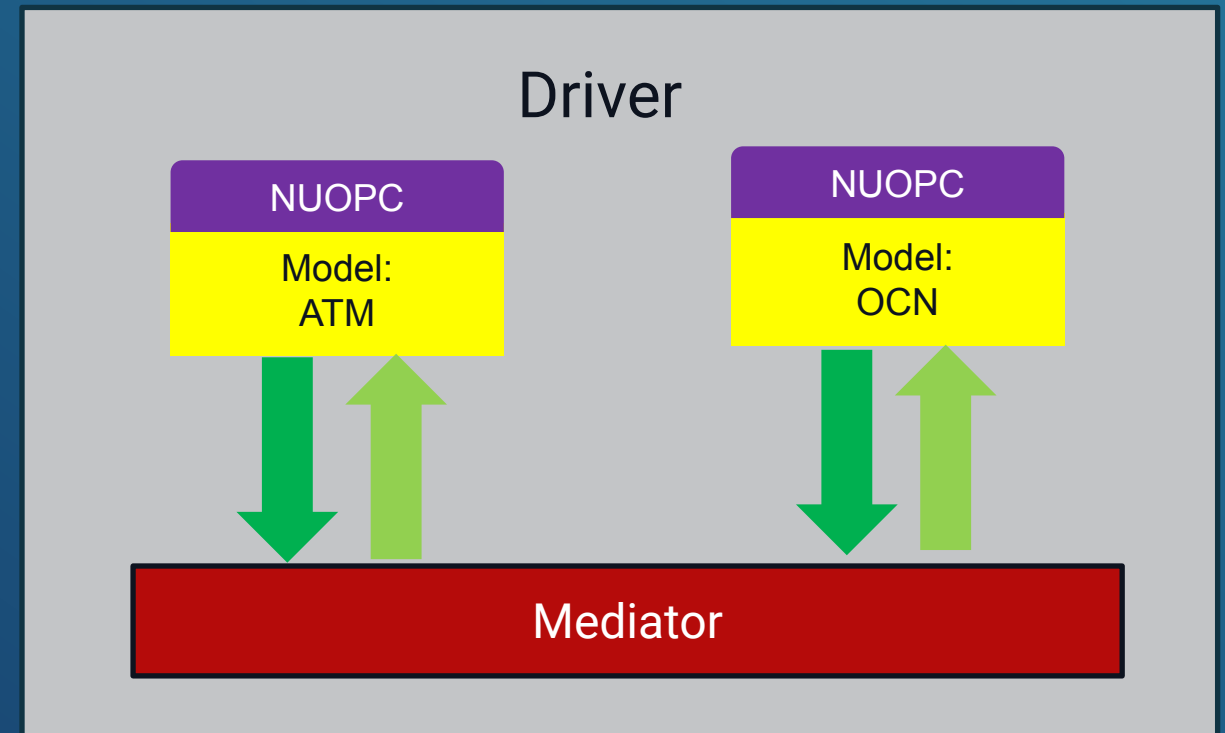
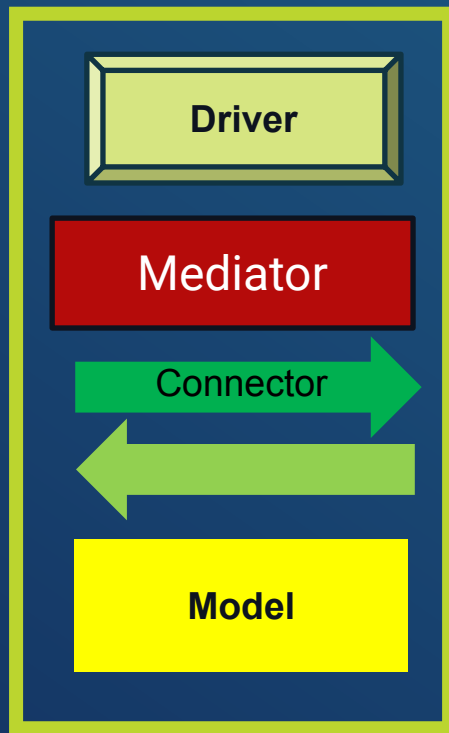


Difference between ESMF and NUOPC

- **Software Stack:** ESMF (Earth System Modeling Framework) provides essential utilities and libraries for building and integrating parts of Earth system models.
- NUOPC (National Unified Operational Prediction Capability) builds on ESMF, offering standards and guidelines to connect components into a complete, operational model.



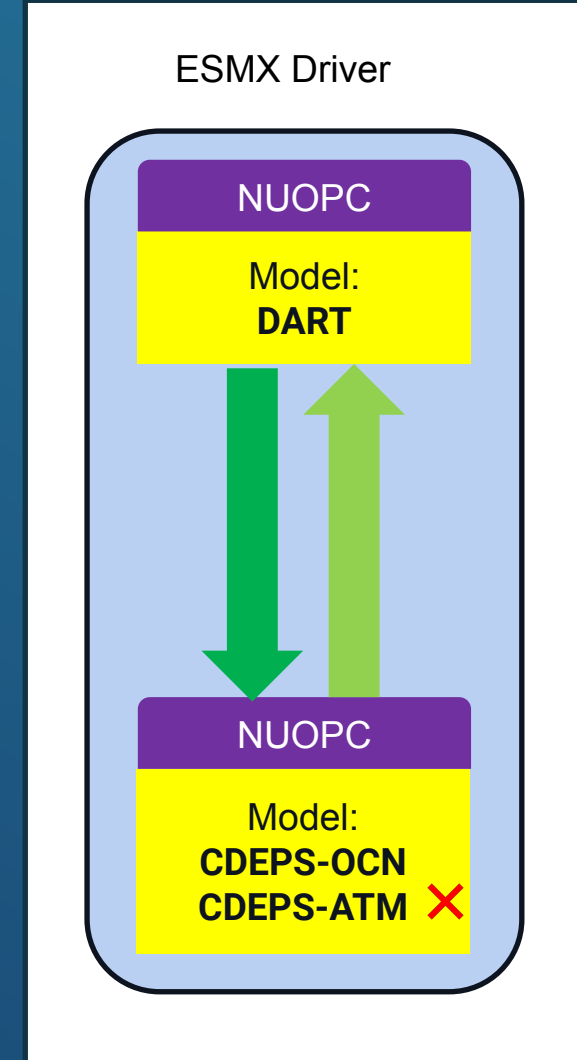
Example of coupling atmospheric model and ocean model



The **NUOPC layer** includes four types of generic components: **model**, **mediator**, **connector**, and **driver**. We focus on the NUOPC model component, which wraps model codes (like atmosphere, ocean, or ice) to expose NUOPC-specified interfaces, ensuring compliance with the NUOPC layer.

Structure of DART-NUOPC Framework

- We started testing the DART-NUOPC cap code by coupling with a CDEPS (Community Data Models for Earth Prediction Systems) data model component and went for ocean component for ease of use (and also because the ocean is the best component).
- Since DART is not a model but a software, we had to specialize the NUOPC cap to make it appear as a model to other model components and the following points we had to take care of -
 1. DART builds as an executable.
 2. DART doesn't need any operation to be done on State Variable.
 3. DART doesn't have its own Grid/Mesh.
 4. DART doesn't step forward in time.



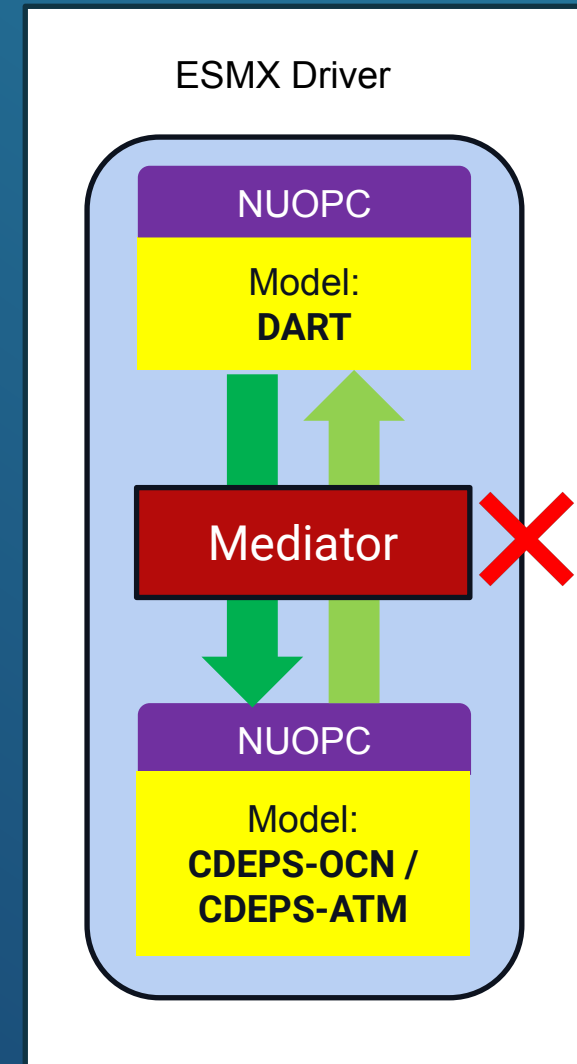
1. Building DART as library

- DART is already an independent component.
- NUOPC wants your model to built as a library.
- DART needs to be roughly divided into several execution methods: *initialize*, *run*, and *finalize*.



2. No need of Mediator in case of DART

- Unlike models, DART doesn't want to do any custom operations on the state variable, and therefore don't need mediator component.

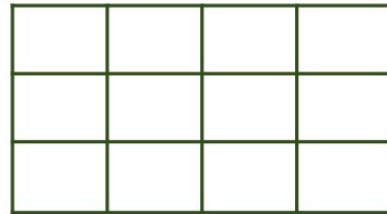


3. Field Mirroring & Receiving Grid/Mesh

- Generalizing DART-NUOPC cap to accept all the field that the ocean model component must provide for DA and to get comfortable with both Mesh and Grid

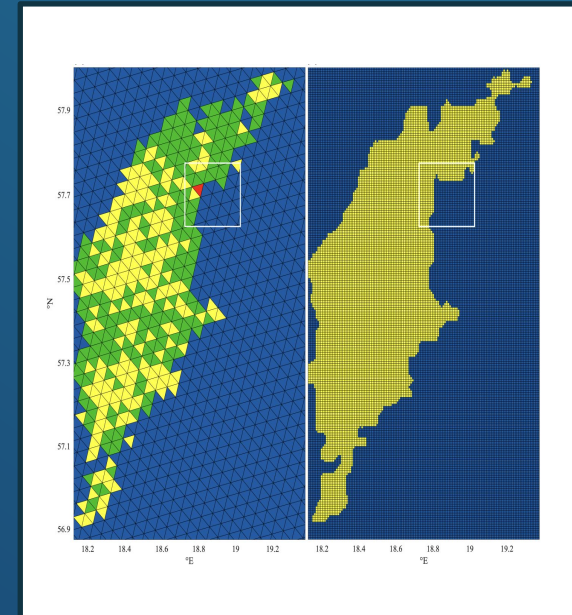
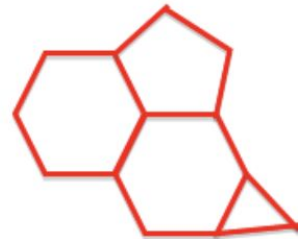
Grid

A **structured** representation of a region, such as a logically rectangular tile or set of tiles



Mesh

An **unstructured** representation of a region including 2D polygons with any number of sides and 3D tetrahedra and hexahedra



4. DART Time Stepping

- DART software doesn't have a clock which advances in time, and to make it appear as a model component we synchronized DART-NUOPC cap clock with the Driver's clock.

```
runSeq::  
  @1800    # 30min time step  
  OCN  
  OCN -> DART  
  DART  
  DART -> OCN  
  @  
  ::
```

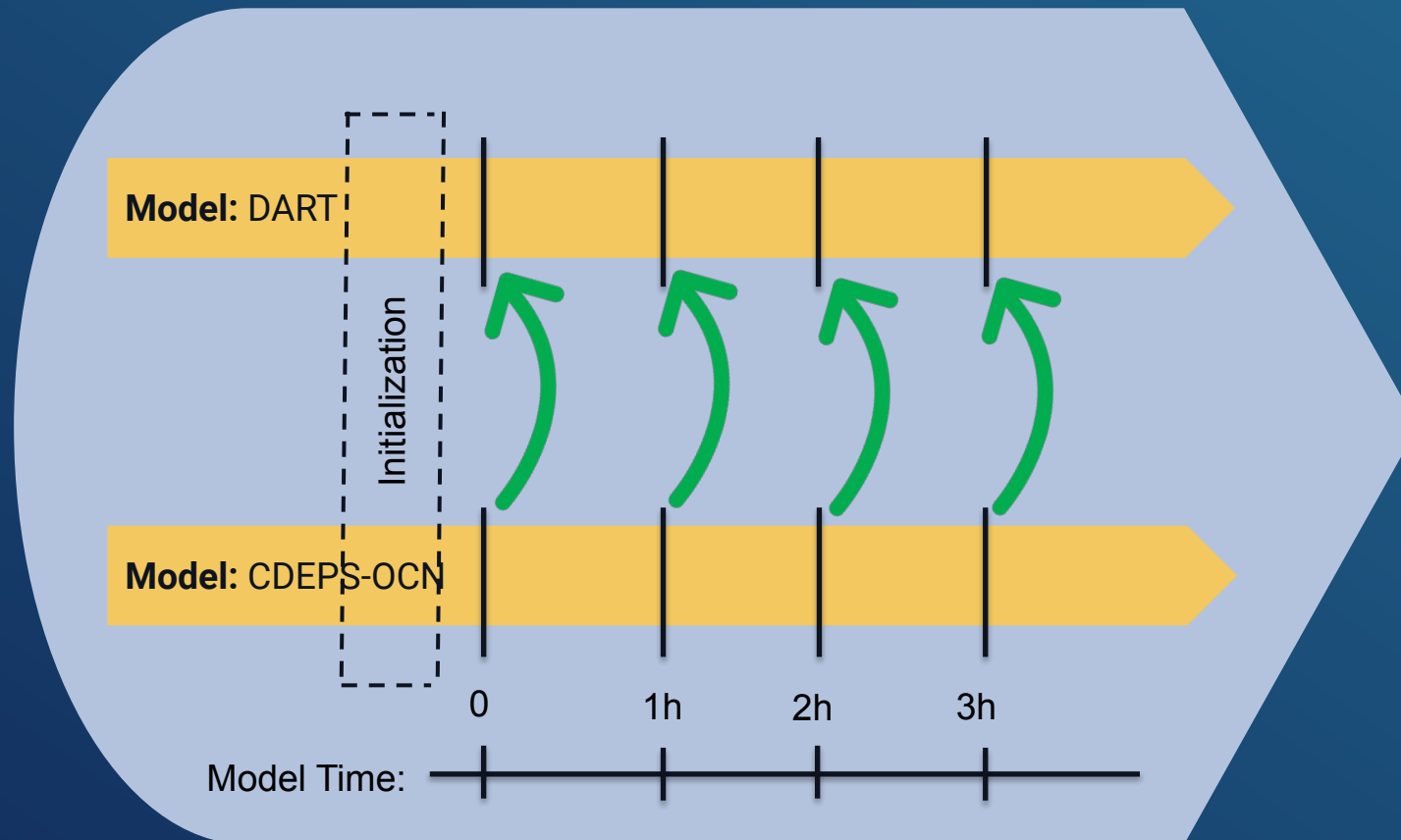
A driver with two model components (DART and OCN), and connectors.

Driver reads a run sequence from a yaml file

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- **Results**
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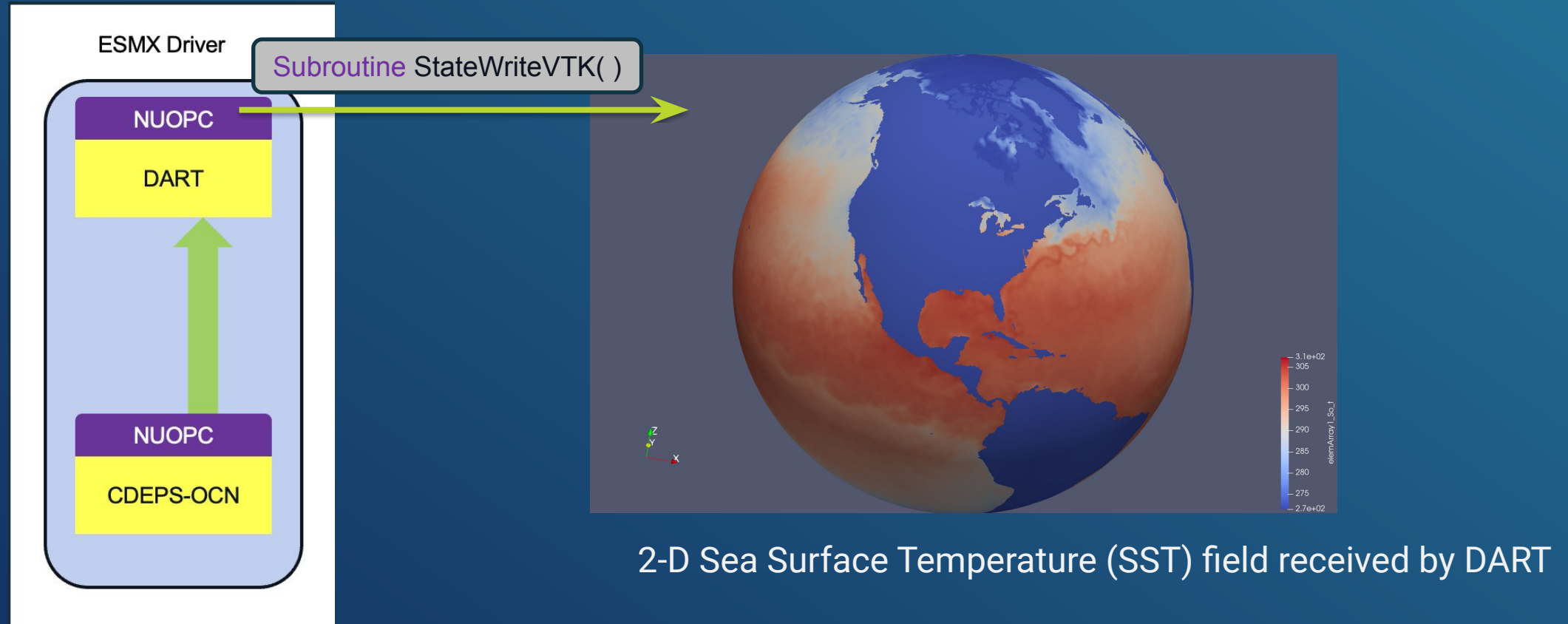
Coupling DART with OCN component



- DART appears to advance in time as a model component, confirming the proper integration and functionality of the NUOPC cap.
- The following figure represent the data flow and time stepping of DART and CDEPS OCN data model component in a coupled environment.

Result: DART model component has successfully accepted the field in-memory!

- The Model Advance subroutine in NUOPC-DART cap correctly writes the accepted SST field to a VTK file, demonstrating that the field is transferred in memory.

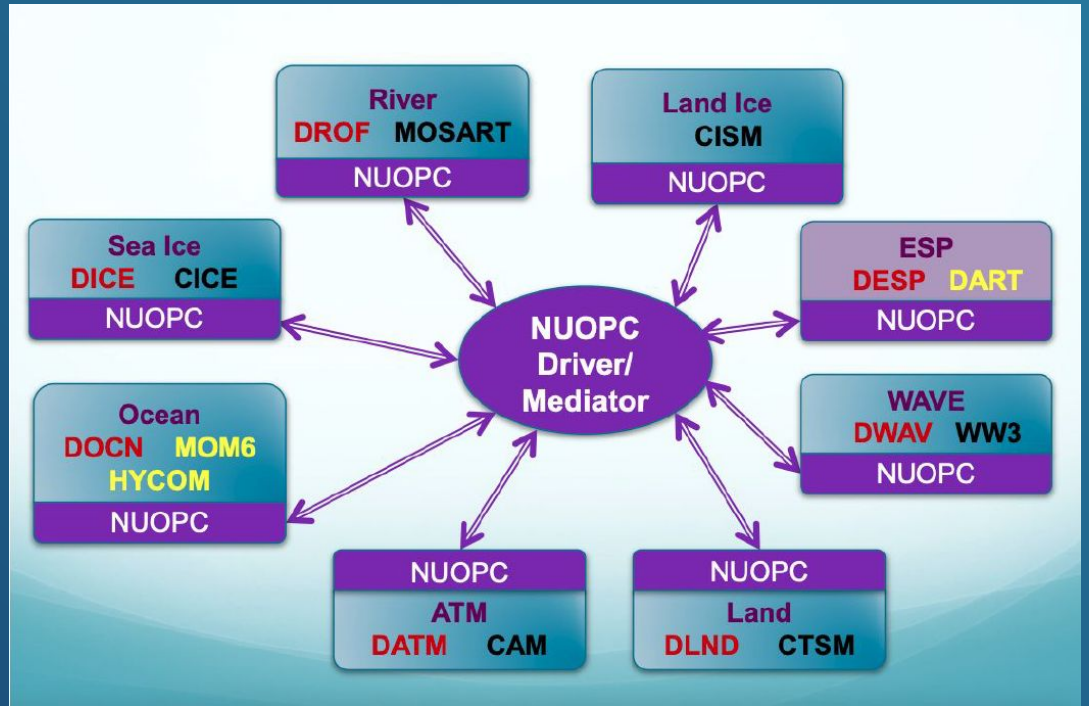


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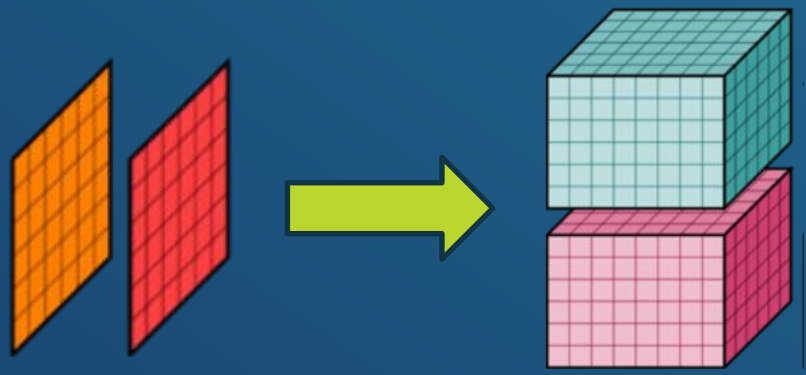


Future Work

- Integration with CESM

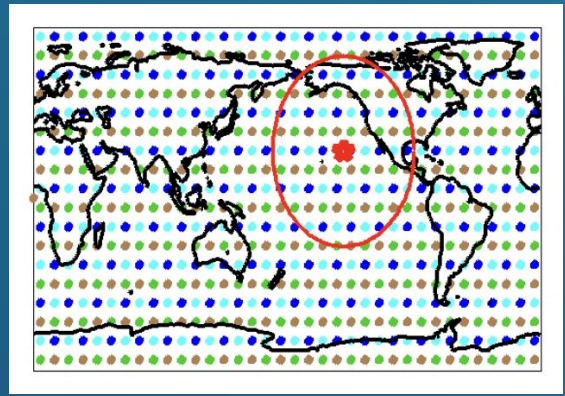
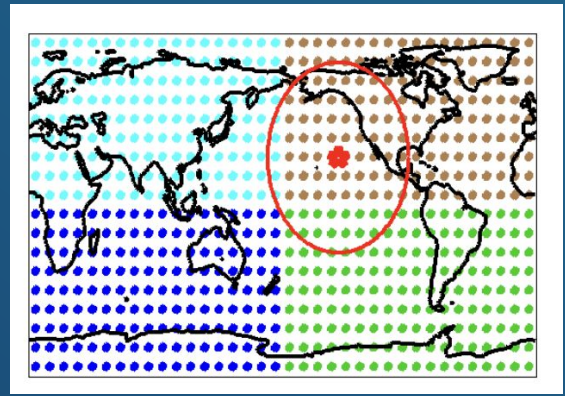


- Performance Testing with 3D Fields

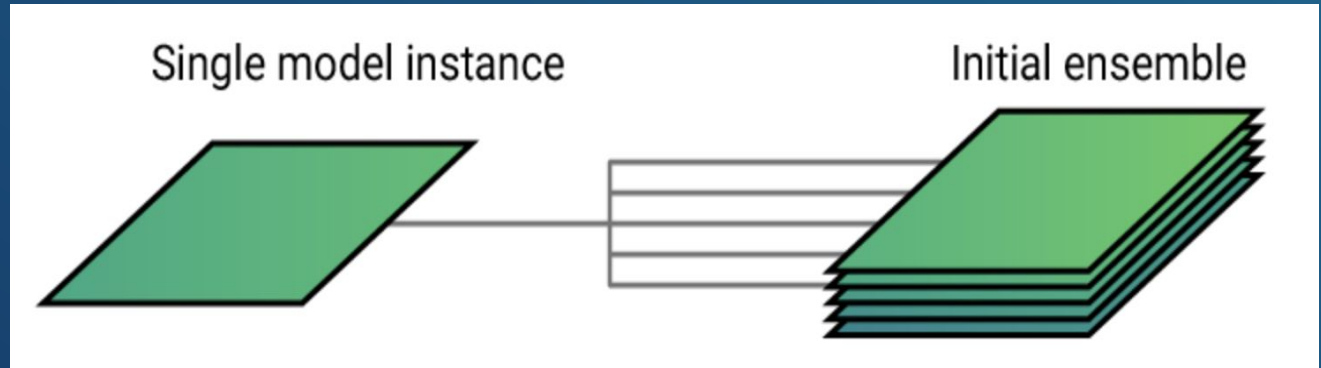


Future Work

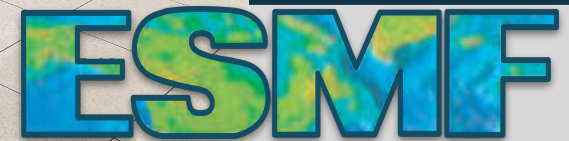
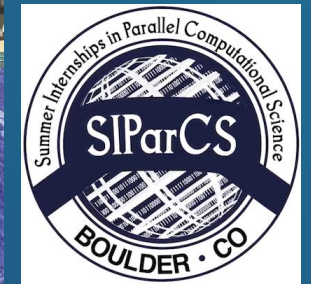
- Optimizing Processor Distribution



- Ensemble Field Transfers



Thank You!



Reference Slides

NUOPC compliant Data model component

CDEPS contains ESMF/NUOPC compliant data components that are modular and flexible: Can be used in any ESMF/NUOPC compliant modeling system

Main building block is the data stream.

- All fields in a stream are located in the same data file/s
- All share same spatial and temporal properties
- Data models can have multiple streams: e.g., SST data could originate from OISST and precipitation data could come from CRU.
- All data is read with parallel IO (PIO2)

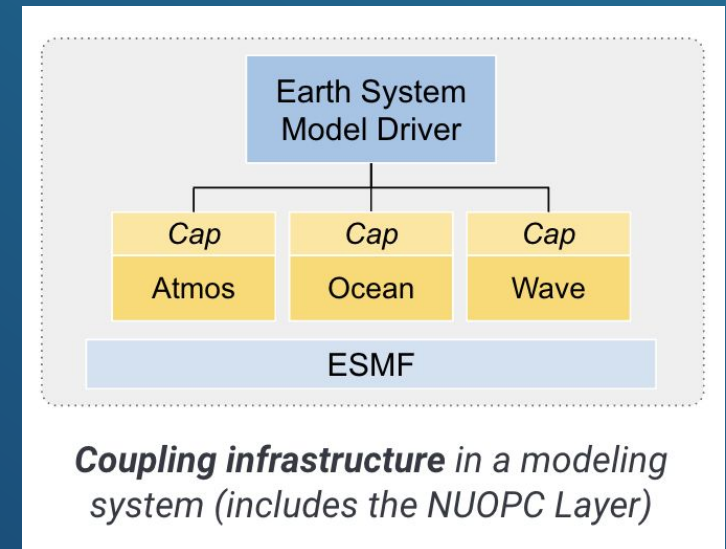
Framework for Coupled Modeling



The Earth System Modeling Framework (ESMF) is high-performance software infrastructure used in coupled Earth science applications.

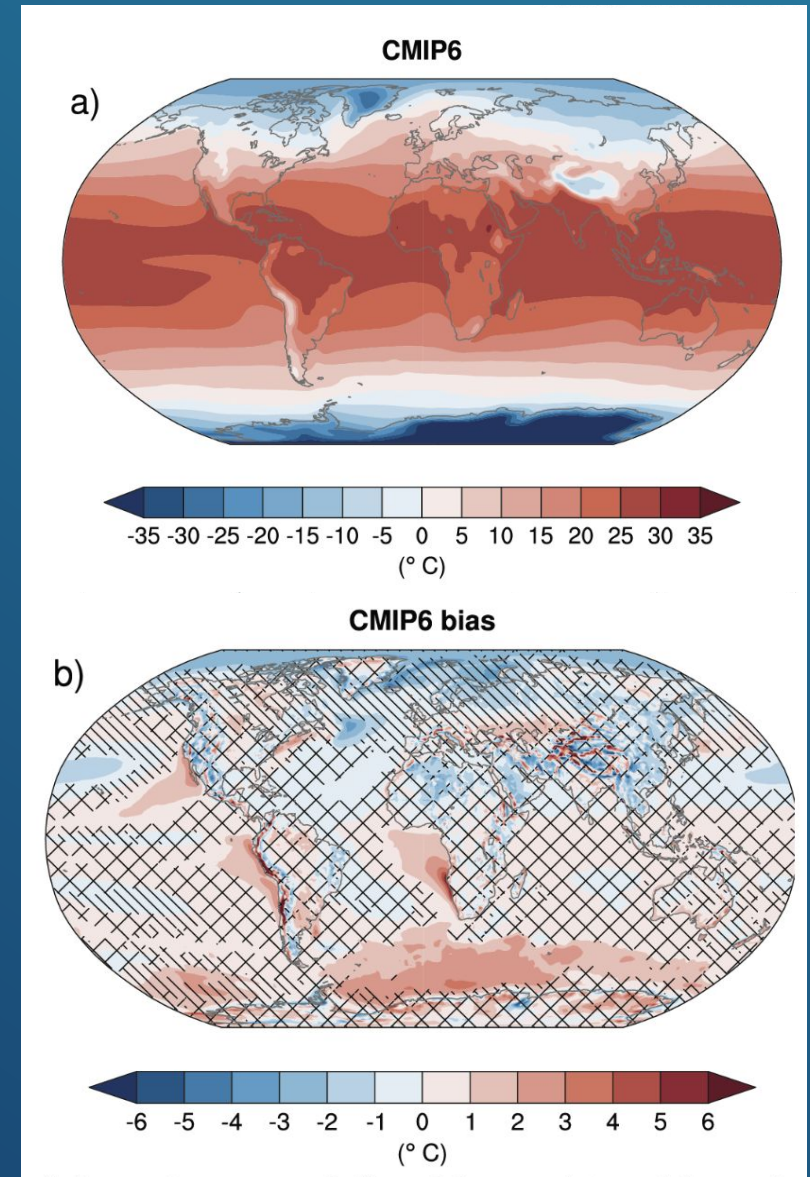
Key Features:

- Standardized Interfaces
- High-Performance Coupling
- Modularity and Reusability
- Support for Parallelism
- Interoperability



Model Biases!

- Climate Model Large Ensembles **Unable** to Reproduce Observed Trends
- Discrepancies between simulated and observed fields are commonly referred to as biases
- All models have a bias. Bias occurs because models are a mathematical representation of a highly complex system which is a simplification of the reality of many processes. Models can have bias because of limiting spatial resolution or incomplete knowledge of how a process works.



Introduction: What is the Challenge in applying DA right now?

I/O bottleneck: Models -> DART -> Models

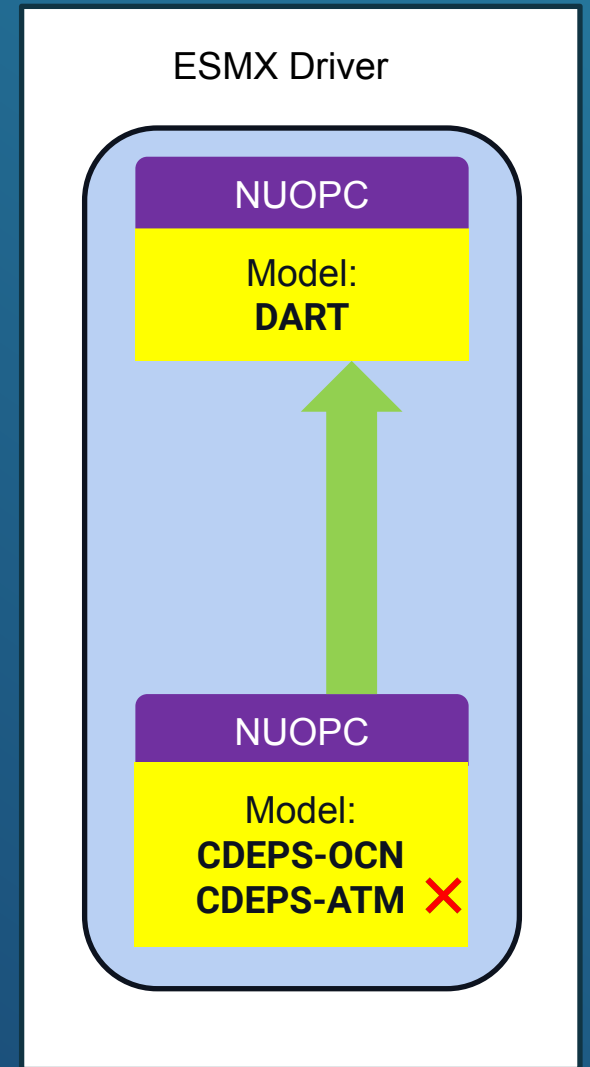
Current Approach:

- File-Based Data Transfer:
 - DART modifies “**restart**” files written to **disk**.
 - Frequent writing and reading of files introduce significant I/O overhead.
 - Disk I/O operations are inherently slower than in-memory operations.
- Model Execution Disruptions:
 - Model needs to stop for file write/read operations.
 - Stopping and restarting the model reduces overall computational efficiency.



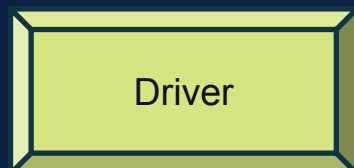
Specializing DART Generic Model Component

```
ESMX_DARTOcnProto > NUOPC_DART-code-development > DART > models > cdeps > work
2  module dart_comp_nuopc
57 > subroutine SetServices(dgcomp, rc) ...
111 end subroutine SetServices
112
113
114 > subroutine InitializeAdvertise(dgcomp, rc) ...
153 end subroutine InitializeAdvertise
154
155 > subroutine ModifyAdvertise(dgcomp, rc) ...
282 end subroutine ModifyAdvertise
283
284 > subroutine RealizeAccepted(dgcomp, rc) ...
445 end subroutine RealizeAccepted
446
447 > subroutine SetClock(dgcomp, rc) ...
515 end subroutine SetClock
516
517 > subroutine ModelAdvance(dgcomp, rc) ...
609 end subroutine ModelAdvance
610
611 > subroutine StateWriteVTK(state, prefix, rc) ...
672 end subroutine StateWriteVTK
673
674
675 end module dart_comp_nuopc
676
```

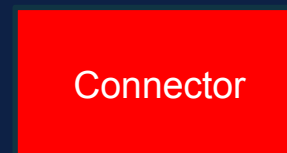


Recap: NUOPC generic component layers

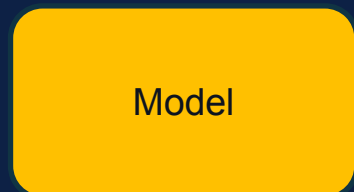
- A NUOPC component is an ESMF component with specified rules of behavior depending on the component's role in the coupled system.



Driver



Connector



Model



Mediator